

CO 2-28-02

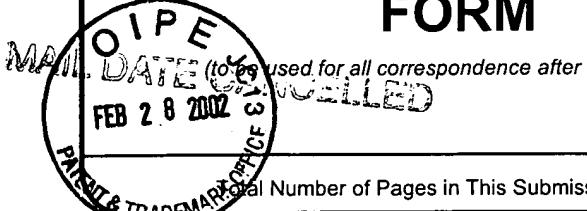
1700 Sector 4

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM



Application Number	09/823,751
Filing Date	08/07/2001
First Named Inventor	John D. Hottovy
Group Art Unit	1713
Examiner Name	Unknown
Total Number of Pages in This Submission	33
Attorney Docket Number	33603US1

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input checked="" type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Declaration of Inventors</b>
Remarks		

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MAR 26 2002  
TC 1700

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Hsiang-ning "Sean" Sun
Signature	
Date	2-27-02

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage in ExpressMail Label EV027950485US addressed to: Box: Missing Parts, Commissioner for Patents, Washington, DC 20231 on this date:

2-27-02

Typed or printed name	Linda Sengvong		
Signature		Date	2-27-02

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# Fee Transmittal for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1610.00)

## Complete if Known

Application Number	09/923,751
Filing Date	August 7, 2001
First Named Inventor	John D. Hottovy
Examiner Name	UNKNOWN
Group Art Unit	1713
Attorney Docket No.	33603US1

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1629  
Deposit Account Name Chevron Phillips Chemical Company LP

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
101	740	201	370	Utility filing fee	<input type="text"/>
106	330	206	165	Design filing fee	<input type="text"/>
107	510	207	255	Plant filing fee	<input type="text"/>
108	740	208	370	Reissue filing fee	<input type="text"/>
114	160	214	80	Provisional filing fee	<input type="text"/>

SUBTOTAL (1) (\$ 0.00)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
0	-20**	= 0	0.00
Independent Claims	0	- 3** = 0	84.00 = 0.00
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	<input type="text"/>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
139	130	139	130	Non-English specification	<input type="text"/>
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	<input type="text"/>
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<input type="text"/>
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<input type="text"/>
115	110	215	55	Extension for reply within first month	<input type="text"/>
116	400	216	200	Extension for reply within second month	<input type="text"/>
117	920	217	460	Extension for reply within third month	<input type="text"/>
118	1,440	218	720	Extension for reply within fourth month	1440.00
128	1,960	228	980	Extension for reply within fifth month	<input type="text"/>
119	320	219	160	Notice of Appeal	<input type="text"/>
120	320	220	160	Filing a brief in support of an appeal	<input type="text"/>
121	280	221	140	Request for oral hearing	<input type="text"/>
138	1,510	138	1,510	Petition to institute a public use proceeding	<input type="text"/>
140	110	240	55	Petition to revive - unavoidable	<input type="text"/>
141	1,280	241	640	Petition to revive - unintentional	<input type="text"/>
142	1,280	242	640	Utility issue fee (or reissue)	<input type="text"/>
143	460	243	230	Design issue fee	<input type="text"/>
144	620	244	310	Plant issue fee	<input type="text"/>
122	130	122	130	Petitions to the Commissioner	<input type="text"/>
123	50	123	50	Processing fee under 37 CFR 1.17(q)	<input type="text"/>
126	180	126	180	Submission of Information Disclosure Stmt	<input type="text"/>
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="text"/>
179	740	279	370	Request for Continued Examination (RCE)	<input type="text"/>
169	900	169	900	Request for expedited examination of a design application	<input type="text"/>
Other fee (specify) Response to Notice to File Missing Parts				130.00	<input type="text"/>
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 1610.00)	<input type="text"/>

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1610.00)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Hsiang-ning Sun	Registration No. (Attorney/Agent)	39,849	Telephone	713.289.4339
Signature				Date	2-27-02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

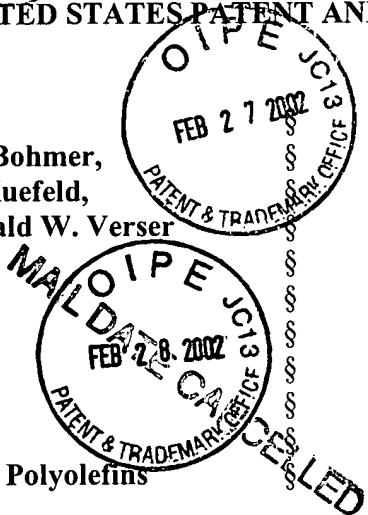
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 33603US1

In re Application of  
John D. Hottovy, Robert W. Bohmer,  
Michael C. Carter, Scott E. Kuefled,  
Ragavan Vaidyanathan, Donald W. Verser  
And David H. Burns



Serial No. 09/923,751

Examiner: Unknown

Filed: August 7, 2001

Group Art: 1713

For: Process for Producing Polyolefins

Attorney Docket No. 33603US1

Box: Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of NonProvisional Application mailed August 27, 2001, Applicants respectfully enclose the executed Declaration and Assignment. Please charge the petition fee of \$130.00 and the recordation fee of \$40.00 to Chevron Phillips Chemical Company LP Deposit Account 50-1629 (33603US1)

Also enclosed is a Request for Extension of Time (4 Months).

Respectfully submitted,

Hsiang-ning Sun  
Registration No. 39,849  
ATTORNEY FOR APPLICANTS

Date: 2-27-02  
CHEVRON PHILLIPS CHEMICAL CO LP  
1301 McKinney, Suite 3446  
Houston, Texas 77010  
Phone: 713-289-4339  
Fax: 713-289-4655

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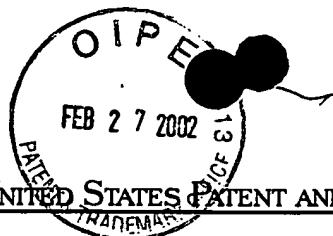
**CERTIFICATE OF MAILING**

"Express Mail" Mailing Label No.: EV027950485US

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2-27-02  
(Date)

Linda Sengvong



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/923,751	08/07/2001	John D. Hottovy	33603US1



chevron Phillips Chemical Company LP  
Room 3447  
1301 McKinney  
Houston, TX 77010

CONFIRMATION NO. 3355  
FORMALITIES LETTER



\*OC000000006472913\*

Date Mailed: 08/27/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

*BB*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/06/2002 MBERHE 00000040 501629 09923751

01 FC:105 130.00 CH  
02 FC:110 1440.00 CH

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